CARDINALSTONE PARTNERS

Investor Profile Questionnaire

This questionnaire is designed to assist us in structuring an investment portfolio that reflects your personal needs. It addresses your investment objectives, time horizon and tolerance for financial risk. The information gathered and its point system will suggest an Investor Profile consistent with your financial goals.

Please indicate the answers to each of the questions below by checking the boxes next to the available options and where applicable, writing the equivalent scores in the boxes marked "Score". Complete the survey by summing your points from each of the questions to arrive at your total score, then select the corresponding Investor Profile.

Name ______________________________

Section 1: Investment Objectives

- ☐ Investment Protection
- ☐ Retirement
- ☐ Wealth Accumulation
- ☐ To achieve a future commitment (e.g College tuition, home purchase e.t.c)

Are you averse to any particular type of investment? ☐ Yes ☐ No

If yes, please state ______________________________

Section 2: Time Horizon

1. When do you plan to start withdrawing money from your investments?

- ☐ Less than 1 year [0]
- ☐ Between 1 – 3 years [1]
- ☐ Between 3 – 5 years [3]
- ☐ Between 5 – 10 years [7]
- ☐ More than 10 years [10]

Score

2. When you start using your invested funds, over how long will you spread your withdrawals?

- ☐ Less than 1 year [0]
- ☐ Between 1 – 5 years [1]
- ☐ Between 5 – 10 years [4]
- ☐ More than 10 years [8]

Score

Subtotal Time Horizon Score __________________

Section 3: Risk Tolerance

1. How would you like to grow this portfolio?

- ☐ Aggressively [8]
- ☐ Reasonably [7]
- ☐ With caution [4]
- ☐ Avoid losing money [2]

Score

2. If an investment option offered two or more stock accounts, which best describes the one you would choose?

- ☐ An account that invests in small growing companies that are more risky, but offer higher returns [8]
- ☐ More than one account, dividing my money between large and small company stock accounts [7]
- ☐ An account that invests in large established companies that are less risky, but offer lower returns [4]
- ☐ No stock accounts – the market is too risky [1]

Score

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3. If you could increase your chances of improving your returns by taking more risk, would you:

- ☐ Be willing to take a **lot** more risk with **all** your money [8]
- ☐ Be willing to take a **lot** more risk with **some** of your money? [6]
- ☐ Be willing to take a **little** more risk with **some** of your money? [4]
- ☐ Be unwilling to take more risk? [1]

Score

4. Given the fluctuations of any investment portfolio, how long would you be willing to wait for your investments to regain any lost value?

- ☐ Less than three months [1]
- ☐ Three to six months [3]
- ☐ Six months to one year [6]
- ☐ One to two years [8]

Score

5. The table below shows the potential highest gain or loss of four different hypothetical investment portfolios over a 1 year period. Given the potential gains and losses, which of them would you invest in?

Cases	Case A	Case B	Case C	Case D
Highest Gain	10%	15%	30%	40%
Highest Loss	0%	-5%	-15%	-25%

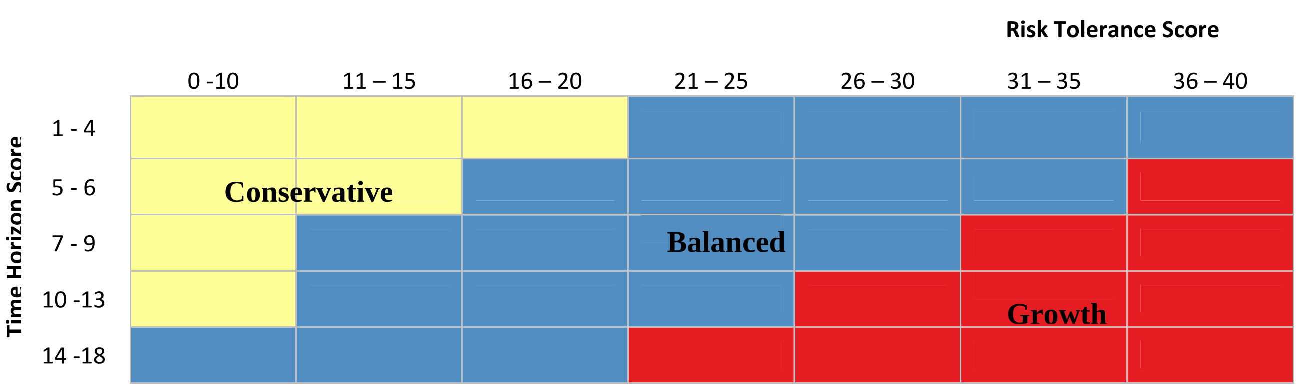
- ☐ Case A [2]
- ☐ Case B [4]
- ☐ Case C [7]
- ☐ Case D [8]

Score

Subtotal Risk Tolerance Score ____________________

Investor Profile

The chart below uses the subtotals calculated in the preceding sections to determine your Investor Profile. Find your ***Time Horizon Score*** along the left side and your ***Risk Tolerance* Score** across the top. The corresponding point of intersection indicates your Investor Profile. As your profile evolves, our investment professionals can assess your portfolio and help you make changes where necessary to reflect your new position.



Client's Signature ____ **Date** ________________________